D&B Risk Analytics - Compliance Intelligence

Confidently Manage Third Party Risk and Compliance Screening with Dun & Bradstreet

D&B Risk Analytics - Compliance Intelligence is an intelligent KYC/KYB solution driven by Dun & Bradstreet's industry-leading data and insights, helping compliance teams

- make faster, more consistent decisions, using a Policy-Led Risk Engine
- verify and identify entities during onboarding using D&B's vast Data Cloud with multiple sources
- screen entities with a targeted policy-driven focus, alongside tools to make adjudication quick and simple to complete
- assess and report on compliance risk of business relationships on individual entities or across your portfolio
- constantly monitor third party risk to reduce the heavy lift associated with periodic reviews

Data Coverage

D&B Risk Analytics provides access to one of the world's largest commercial databases, with more than 500 million company records in 220 countries worldwide.

Help & Support

The Virtual Assistant will help you learn and adopt key product features when and where you need it. Simply click on the question mark in product.
In addition, the application contains contact details of local support teams in case of further questions.

Agile Development & Enhancements

D&B Risk Analytics is an agile platform, so enhancements and additional features might be added, giving extra value without extra cost. Additional Modules & Add-Ons will be made available in the future, covering additional solution sets and use cases.

Languages

The application is available in Chinese (Traditional), English, French, German, Japanese, Spanish, Polish, Portuguese and Dutch.

User roles and permissions

Users in D&B Risk Analytics can be assigned different user privileges. A main user with administration rights and regular user profiles can be set up. The existing user profiles with a detailed view on the key permissions can be found here.

Features & Functions

D&B Risk Analytics - Compliance Intelligence offers the following key features & functions:

Configurable Risk Engine & Workflow Automation
Configure your risk appetite based on the entity, industry, countries and stakeholders in the network of third parties associated with your target, alongside screening configuration on who to screen and on what risk categories.

Screening with Enhanced Adjudication (Enhanced RPS Plus)
Automatically screen customers and the enriched entity network associated including Principals, PSCs, Beneficial Owners and Direct Shareholders. Set screening levels, add other entities & use tools to automatically manage false positives and mitigate with adjudication tools.
Dow Jones is the screening provider used for D&B Risk Analytics - Compliance Intelligence. Access to **Factiva** (Dow Jones adverse media database) can be enabled on customer's request, based on the details defined in the order form. Access Management is done by D&B on behalf of the customer.
Ad hoc screening is available as an additional

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feature to support one-off screening requests. This is available for a limited number of entities.
The non-DUNS screening option facilitates adding non-DUNS based entities to your portfolio and have them screened against your policy settings.

Third-Party Risk Insights
Access to D&B data insights, firmographics, public filings, corporate linkage, and more, alongside adding your own custom fields, uploading documents and notes or bulk update data points critical to your portfolio entries.

Control & Ownership
Control & Ownership insights that help you understand who you are doing business with, and the network of actors involved including Principals, PSCs and Shareholders, with configurable ownership thresholds.

Downloadable Compliance and Portfolio Reports
Downloadable PDF compliance and screening Repots that capture key aspects of the due diligence process for audit purposes. Save point in time snapshots and create your own portfolio exports.

Modern UI/X and interactive dashboards
Modern, configurable user interface, that is ADA compliant. It streamlines navigation to the data you need and allows you to personalize your user experience.

Core and Core+ Data Elements
Core includes:

- Company Data - Registration Information Name, Alternate Names, Address, Linkages, and Industry Classification
- Event Filings - Basic
- Control and Ownership - Principals, PSCs and Shareholders

Core+ includes:

- Company Data - Registration Information Name, Alternate Names, Address, Linkages, and Industry Classification
- Event Filings - Enhanced
- Full Family Tree
- Financial Characteristics
- Control and Ownership - Principals, PSCs and Shareholders

Integration Services
Choose to integrate Risk Analytics outcomes straight into systems and services your teams use to support daily risk mitigation, and growth driving activities. Ensure records can be added and the workflow outputs can be imbedded to deliver critical data points that help make decisions outside of the core platform.

- Transactionally match an organization name using defined confidence codes (default 8>) or send D-U-N-S
- Ability to request an Evaluation or add a Record to your portfolio
- Deliver back information associated with the **status** of a record and the risk evaluation results. This includes:
    - Entity Risk / Industry Risk / Country Risk
    - Screening Risk (Sanctions / Watchlist / Political Affiliation / Adverse Media)
    - Overall risk calculation based on policy (Calculated Risk / Final Risk)
    - Status (Approved / Declined / Pending review options)

Investigations
Possibility to submit investigations on a company that either was not found in the database, lacks specific information, or is outdated. The service includes an option to track progress of the investigation requests and consume results of those investigations.

Alert Service

The alert service enables monitoring and management of the customer portfolio by informing about data changes, based on the customer's alert policy and subscription. D&B

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as well as screening data is monitored on a daily basis.

Optional Modules & Add-Ons

The following optional Modules & Add-Ons can be added to the core Features & Functions of D&B Risk Analytics - Compliance Intelligence. The availability of those is defined in the order form.

D&B ESG Intelligence

D&B ESG Intelligence is designed to help you incorporate environmental, social and governance evaluation components into your risk management processes to help manage risk, increase supply chain resiliency, and drive entity performance. This feature add-on provides you with comprehensive coverage of environmental, social, and governance performance data spanning over 80 million public and private entities worldwide. These insights are synthesized into meaningful ESG Rankings for supplier risk prioritization and informed decision making. Modern interactive ESG dashboard widgets and summary lists help your team to quickly assess the ESG performance of your supplier portfolio at a glance.

This intelligent data is built around trusted sustainability frameworks such as SASB (Sustainability Accounting Standards Board), GRI (Global Reporting Initiative), UN SDGs (United Nations' Sustainable Development Goals) and numerous others for measurability and standardization. D&B ESG Intelligence is updated monthly and is organized into 13 ESG themes and 31 granular topic rankings.

ESG Self-Assessment

This survey contains up to 50 questions modeled around key sustainability frameworks and aims to enhance your existing insights with unreported ESG data and provide an easy way to engage your businesses. Data from completed self-assessments is processed by Dun & Bradstreet and made available in D&B ESG Intelligence as reported data.
ESG Self-Assessment can be easily and quickly deployed from the solution to your third parties and or business partners.

Financial Strength Insight

This module provides access to Predictive and Descriptive Scores (where available). The Predictive Scores tab includes:

- Overall Business Risk (OBR)
- Failure Score
- Delinquency Score
- Viability Rating

The Descriptive Scores tab provides:

- D&B Rating

Registry Intelligence

Registry Intelligence allows you to access official company registry data from around the world to look up, and pull documents filed with local authorities, e.g., incorporation and account statements, lists of shareholders, changes in management or insolvency proceedings. Availability of documents depends on country, and local regulations.

Cyber Risk

D&B Cyber Risk Rating powered by SecurityScorecard provides the ability to assess, continuously monitor, and report on your third-party portfolio's cyber security performance and help highlight cyber risks before disruption occurs.

Country/Region Risk Insights

Country / Region Risk Insights detail the political, commercial, economic, and sovereign risk on 132 markets around the world. The reports provide summary recommendations and clear graphics to easily identify trends at-a-glance while presenting forward-looking analysis and focused narrative around the implications and recommended actions within 14 key dimensions, which include FX Risk, Market Potential, Business Continuity, Business Regulatory Environment and Political/Insecurity Risk.

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Country / Regional Mapping

„European data records" are defined as records located in the European Economic Area, including United Kingdom, Ireland, Albania, Andorra, Armenia, Austria, Azerbaijan, Belarus, Belgium, Bosnia & Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Faroe Islands, Finland, France, Georgia, Germany, Gibraltar, Greece, Greenland, Hungary, Iceland, Italy, Latvia, Liechtenstein, Lithuania, Luxembourg, North Macedonia, Malta, Moldova, Monaco, Montenegro, Netherlands, Norway, Poland, Portugal, Romania, Russia, San Marino, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey, Ukraine.

"Rest of World records" are defined as records located in the rest of the world.

*This Product Sheet describes the content and functionality of each service. The parts of the Product Sheet that apply to the customer depend on the content of the ordered service.

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